



BAIPHIL cordially invites you to the Seminar on:

Establishing the Internal Audit Function per BSP Cir. 871 and Implementing the IA Work Standards

Resource Speakers/Facilitators:

Ms. Edel Mary D. Vegamora
CPA, CIA, CRMA, Fellow-ICD
EVP/Chief Audit Executive- RCBC

Training/Workshop Objectives:

1. To provide the Participants with a Reference Framework for Supervisory Review of the IA Function
2. To provide a venue for discussing the pertinent Principles and Standards as regards Supervisory Review of the IA Function
3. To highlight the end-in-mind for ensuring effective Supervisory Reviews
4. To highlight the recent key changes to the Attribute Standards
5. To allow the Participants to gain understanding of the detailed Provisions of the Attribute Standards
6. To provide a high-level framework of Practical Ways for implementing the Attribute Standards
7. To provide a venue for Self-Assessment by the Participants, and for them to articulate their 'Next Steps'

TOPICS AND DISCUSSION OUTLINE FOR THIS SEMINAR/WORKSHOP:

- I. Back to Basics: Conceptual Framework
- II. Principles & Standards on Supervisory Review
- III. The End-in-Mind: Expectations of Stakeholders
- IV. Core Processes of the Internal Audit Operations
- V. Break-Out Session: Key Points of Supervisory Review in the IA's Core Processes
- VI. When 'Supervisory Review' has become Ministerial
- VII. When inadequate 'Supervisory Review' has become a control weakness in the IA Operations
- VIII. The Key Benefits of Strong Supervisory Review Controls in IA
- IX. Structure / Categories of IA Standards
- X. Highlights on Key Standards
- XI. Key Compliance Criteria
- XII. Practical Examples of Evidences, Sound Practices, and Other Considerations
- XIII. Self-Evaluation Exercise
- XIV. The Next Steps

Schedule: November 16, 2019, 9 A.M. to 5:00 P.M.

Venue: Dusit Thani Manila, Ayala Center, Makati City

Registration:

Please complete the Registration Form below and send it to training@baiphil.org or fax to (02)853-0889. Registration form received will serve as notice for reservation only.

Registration Form:

Full Name : _____
First Name
M.I
Surname

Nick Name: _____ Email Address: _____

Telephone no.: _____ Cellphone no.: _____

Designation: _____

Department: _____

Sponsoring Bank/ Institution: _____

How did you know about this program?
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REMARKS (on nominees specific & immediate training needs, potentials, etc.)

Signature of Nominee:

Signature Over Printed Name

Recommended by:

Signature Over Printed Name



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November 16, 2019

Investment Fees:

Php4,500.00 / participant for Member Banks

Php5,000.00 / participant for Non-Member Banks

(Inclusive of AM/PM Snacks, Lunch, Certificate of Attendance and materials)

Payment Options:

- Deposit thru our Bank Account:

Account Name: Bankers Institute of the Philippines, Inc.

Name of Bank : Bank of Commerce – Dela Costa/Alfaro Rd. Br.

Acct. No. : 101-00-004876-4

Please fax or email the deposit slip and indicate the name of your bank, participants and seminar to be attended

- Bring Check Payment on the day of Seminar. (Check payable to Bankers Institute of the Philippines, Inc. or BAIPHIL)
- Deliver check payment to BAIPHIL Office at Unit 2103, 21st Floor, The Peak Tower, #107 L.P. Leviste Street, Salcedo Village, Makati City.

Payment should be made on or before the seminar date payable to Bankers Institute of the Philippines, Inc. (BAIPHIL). NO PAYMENT IN CASH DURING THE SEMINAR DAY.

For inquiries, please call the BAIPHIL Secretariat at (02) 853-4457 or 519-2433.

Registration form may be reproduced, and fax at no. (02) 853-0889 or e-mail at: training@baiphil.org

Cancellation Guidelines:

Deadline of Submission of Registration is November 6, 2019 (Wednesday)

BAIPHIL reserves the right to cancel programs due to low turn-out of registration, illness of resource speaker, inclement weather or unforeseen events. As such, participants to the program or their HR representatives will be advised either through e-mail or by phone, at least five working days prior to the learning event otherwise same shall be billed accordingly.

BAIPHIL is responsible only for the full refund of the registration fee but not for the transportation expense of the participants who have booked their travel in advance.

Should a participant decide to cancel his/her registration, he/she may opt for a refund or transfer his/her participation in the program to another colleague by sending in writing his cancellation or transfer notice to BAIPHIL. A 100% refund or transfer will be made if the advice is received within five working days before the seminar run.